

1 **Sagittal joint instability in the cranial cruciate ligament insufficient canine stifle**

2 Caudal slippage of the femur and not cranial tibial subluxation

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5 Janna Rey[#], Martin S. Fischer^{*}, Peter Böttcher[#]

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7 [#]Klinik für Kleintiere, Universität Leipzig, Leipzig

8 ^{*}Institut für Spezielle Zoologie und Evolutionsbiologie mit Phyletischem Museum,

9 Friedrich-Schiller-Universität, Jena

10

11 **Korrespondierender Autor:** Prof. Dr. Peter Böttcher, Klinik für Kleintiere, An den

12 Tierkliniken 23, D-04103 Leipzig, Germany. Tel: +49 341 97 38 700. Fax: +49 341 97

13 38 799. E-Mail: boettcher@kleintierklinik.uni-leipzig.de

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18

19 **Key words:** Stifle, cranial cruciate ligament rupture, in vivo, kinematics, fluoroscopy

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21 **Summary**

22 **Objectives:** This in vivo study qualitatively describes the sagittal motion pattern of
23 the cranial cruciate ligament (CrCL) insufficient stifle in operated and unoperated
24 joints with passive laxity on palpation. **Material and Methods:** In vivo sagittal stifle
25 kinematics were recorded in dogs (>15 kg BW) with unilateral (n = 7) or bilateral (n =
26 6) complete CrCL rupture and positive cranial drawer test as well as two sound con-
27 trol dogs using uniplanar fluoroscopic kinematography with the dogs walking on a
28 treadmill. Stifle stability and sagittal motion pattern of the femur and the tibia were de-
29 termined by visual inspection of the fluoroscopic video sequences. **Results:** Control
30 dogs showed no instability, identical to the contralateral stifles of those dogs with uni-
31 lateral rupture. All unoperated stifles with CrCL rupture (n = 6) showed caudal slip-
32 page of the femur at the beginning of stance phase. Of the operated stifles (n = 13: 1
33 TightRope, 6 TTA, 5 TPLO, 1 CCWO) nine were unstable, showing the same motion
34 pattern as the unoperated stifles. **Conclusion(s):** Sagittal joint instability in the CrCL
35 insufficient stifle is characterized by caudal subluxation of the distal femur at tow
36 touch. **Clinical significance:** The unexpected high number of operated stifles with
37 persistent instability challenges the biomechanical effectiveness of some surgical
38 treatments of CrCL rupture and warrants further studies.

39

40 **Schlüsselwörter:** Kniegelenk, Riss des vorderen Kreuzbandes, in vivo, Kinematik,
41 Fluoroskopie

42

43 **Zusammenfassung**

44 **Ziel:** Diese in vivo Studie beschreibt qualitativ das sagittale Bewegungsmuster
45 kreuzbandinsuffizienter Kniegelenke mit und ohne operativer Stabilisierung.

46 **Material und Methoden:** Die sagittale Kniegelenkskinematik wurde bei Hunden (>
47 15 kg KM) mit einseitigem (n = 7) und beidseitigem (n = 6) Riss des vorderen Kreuz-
48 bandes in vivo beurteilt, wobei die Hunde auf einem speziell für Hunde konstruierten
49 Laufband liefen. Alle Gelenke waren zum Zeitpunkt der Ganganalyse palpatorisch in-
50 stabil. Zwei gesunde Hunde dienten als Kontrolle. Die kranio-kaudale Kniegelenks-
51 stabilität, sowie das allgemeine sagittale Bewegungsmuster von Femur und Tibia
52 wurden anhand uni-planarer fluoroskopischer Videosequenzen visuell beurteilt.

53 **Ergebnisse:** Die Kontrolltiere zeigten keine sichtbare kranio-kaudale Instabilität,
54 ebenso nicht die gesunden Kniegelenke unilateral betroffener Tiere. Alle unoperier-
55 ten Gelenk mit Riss des vorderen Kreuzbandes (n = 6) wiesen ein kaudal gerichtetes
56 Abgleiten des distalen Femurs entlang des Tibiaplateaus zu Beginn der Standphase
57 auf. Bei den operierten Gelenken (n = 13: 1 TightRope, 6 TTA, 5 TPLO, 1 Keilosteo-
58 tomie) wiesen neun eine Instabilität auf, identisch zum Bewegungsmuster der unope-
59 rierten instabilen Gelenke. **Schlussfolgerung:** Die sagittale Kniegelenksinstabilität
60 im Zusammenhang mit einem Riss des vorderen Kreuzbandes ist durch eine kaudal
61 gerichtete Rutschbewegung des Femurs beim Aufsetzen gekennzeichnet. **Klinische**
62 **Relevanz:** Die unerwartet hohe Zahl operierter Kniegelenke mit bestehender Ge-
63 lenksinstabilität stellt die biomechanische Effektivität einiger Operationsmethoden in
64 Frage und bedarf weiterer Untersuchungen.

65

66 **Introduction**

67 The canine cranial cruciate ligament (CrCL) antagonises forces which result in cranial
68 subluxation and to a certain degree internal rotation of the tibia relative to the femur
69 (2). This broadly accepted concept of CrCL function has been defined based on ana-
70 tomical studies (2) and static in vitro tests (1, 5, 12-14, 17, 19-23, 30), stimulating
71 some muscle forces as well as body load acting on the stifle. The concept has been
72 validated by two in vivo studies looking at stifle kinematics following artificial CrCL
73 transection, providing the evidence that even during dynamic walking activity cranial
74 subluxation of the tibia occurs at the beginning of stance phase (16, 28). However
75 the presumed increase in internal rotation following CrCL rupture seen in vitro (2)
76 could not be confirmed (28). Irrespectively of this discrepancy between in vitro and in
77 vivo findings, the common understanding of stifle kinematics following CrCL rupture
78 is driven by cranial subluxation of the tibia as the dominating disturbance. As conse-
79 quence any surgical procedure aims at neutralizing cranial tibial thrust, and at provid-
80 ing rotational stability whenever possible. Our focus on tibial instability in the context
81 of CrCL disease is further sustained by the fact, that clinical diagnosis of CrCL rup-
82 ture is made by palpation of cranio-caudal instability of the tibia in relation to the fe-
83 mur (4), or by stress radiographs resulting in cranial tibial subluxation (7).

84

85 Because periarticular fibrosis and degenerative joint disease is a common finding at
86 the time of diagnosis of CrCL rupture in canines, Pozzi and Kim (21) hypothesized
87 that the cranio-caudal instability of the canine stifle following naturally occurring CrCL
88 rupture would be slighter than the one after artificially induced CrCL rupture in re-
89 search dogs with normal stifle joints. As a result, three-dimensional in vivo kinematics
90 of the canine stifle joint in dogs with natural occurring CrCL pathology has recently be
91 reported (3) Unexpectedly, cranio-caudal stifle instability following CrCL rupture
92 seemed to be characterised by caudal slippage of the femur, a motion pattern com-
93 pletely different to what is currently reported in veterinary literature.

94

95 With the current study we aim at investigating the real in vivo sagittal motion pattern
96 of the CrCL deficient stifle. Our working hypothesis was that with complete CrCL rup-
97 ture and passive laxity on palpation, the femur would consistently slip caudal at the
98 beginning of stance phase while the stifle is maximally extended, disproving the es-
99 tablished concept of tibial instability. Furthermore, femoral instability would also be

100 the key feature in joints with persistent cranio-caudal instability and failed surgical
101 stabilisation.

102

103 **Material and Methods**

104 *Animals*

105 Dogs (BW \geq 15 kg) were randomly included into the study if they were lame because
106 of CrCL deficiency or if they had been operated before because of complete CrCL
107 rupture. Dogs affected bilaterally were also considered candidates. For final inclu-
108 sion, cranial drawer at palpation of the affected stifle(s) indicating complete CrCL rup-
109 ture had to be present, whereas tibial compression test was not evaluated. Stable sti-
110 ffler at palpation such as cases of incomplete rupture, joints with excessive periarticu-
111 lar fibrosis or those with a stable extraarticular repair were excluded. This resulted in
112 the inclusion of 19 palpatory unstable stifles (10 left, 9 right, 6 bilateral) of 13 dogs (1
113 neutered and 7 intact females, 5 intact males). Six stifles were unoperated, 13 had
114 been operated at least six weeks before using different surgical methods (TightRope
115 $n = 1$, tibial tuberosity advancement (TTA) $n = 6$, tibial plateau leveling osteotomy
116 (TPLO) $n = 5$, cranial closing wedge osteotomy (CCWO) $n = 1$). Mean age on admis-
117 sion was 4.2 years (range, 1 – 9.2 years) and mean body weight was 30.1 kg (range,
118 16 – 49 kg). Breeds included mixed breed (3), Labrador Retriever (2), and 1 each of
119 Rottweiler, Bullmastiff, American Canadian White Shepherd, Black Russian Terrier,
120 Entlebucher Mountain Dog, Weimaraner, Beagle, and Akita Inu.

121 Two sound mixed breed dogs (1 female and 1 neutered male), eight and four years
122 old with a body weight of 18.6 and 20.2 kg respectively served as our control group.
123 The contralateral stifle in those dogs with unilateral CrCL pathology ($n = 7$) was also
124 included in the latter.

125

126 *Fluoroscopic kinematography*

127 Using uni-planar fluoroscopic kinematography, dogs were investigated while walking
128 on a canine treadmill (Model DC7, JOG A DOG, Ottawa Lake, Michigan, USA).
129 Speed was set to 0.8 to 1.0 m/sec, depending on the size of the dog and the degree
130 of lameness on the day of gait analysis. The degree of lameness on the affected
131 limb(s) when walking on the treadmill ranged from none to mild to moderate. In all
132 cases the radiographic beam was directed from lateral to lateral, focusing on the stifle
133 joints and allowing good visualization of cranio-caudal stifle motion in the sagittal
134 plane. The fluoroscopic unit (Fig. 1) consisted of a standard C-arm (BV 300, Philips
135 Medical Systems Nederland B.V., Best, Netherland) equipped with a high-speed digi-
136 tal camera (CamRecord 600, Optronis, Kehl, Germany) replacing the standard video

137 camera of the C-arm, which only allows a frame rate of 25 to 30 frames per second
138 (27). During fluoroscopic kinematography the C-arm was operated in continuous
139 mode at 80 to 110 kV and 2.8 to 3.1 mA depending on the body conformation of the
140 dog. Digital recording of the fluoroscopic sequences of about four to six seconds du-
141 ration was performed at a rate of 500 fr/sec and a shutter (exposure time) of 1/2000
142 sec. A sequence was defined to be valid if for both stifles at least three heel strikes
143 were recorded. Optimally, the entire stance phase from heel strike to foot lift was rec-
144 orded. Inevitably, in larger dogs the stifle went out of the imaging field because of the
145 limited size of the image intensifier.

146

147 *Data analysis*

148 Video sequences were visually inspected for cranio-caudal translation between the
149 femur and the tibia by two independent observers and finally admitted a consensus
150 based binary score in respect to stability (stable, unstable) and motion pattern (tibial
151 subluxation vs. femoral slippage).

152 **Results**

153 Both control dogs showed no visible translation between the femur and the tibia on
154 fluoroscopy. The same was observed in the stable, contralateral stifles of those dogs
155 with unilateral CrCL rupture. All unoperated stifles showed marked cranio-caudal in-
156 stability with the femur slipping caudally, just at the beginning of stance phase (see
157 movie 1; www.fluokin.de for further fluoroscopic images sequences). On those fluor-
158 oscopic sequences which included foot lift, spontaneous reduction of femoral subluxe-
159 ation occurred just at the end of stance phase. No translational movement of the tibia
160 during stance phase was apparent. Of the operated stifles which all had positive cra-
161 nial drawer test on palpation, nine proved to be unstable on fluoroscopy in the sagit-
162 tal plane (1 TightRope, 4 TTA, 3 TPLO, 1 CCWO). As for the unoperated stifles, fem-
163 oro-tibial motion pattern was characterised by a sudden caudally directed slippage of
164 the femur at early stance phase and spontaneous repositioning at the end of stance
165 phase for all but one stifle. In this particular CCWO case, instability occurred slightly
166 later than in the other joints after heel strike, when the stifle was extended even more
167 than it was during heel strike, a motion pattern different to all other stifles studied. In
168 all cases, similar to the unoperated stifles, tibial subluxation could not be documented
169 with the exception of one TTA. In this case both caudal slippage of the femur and
170 cranial subluxation of the tibia were documented. Excluding the two stifles showing
171 atypical kinematics, the sagittal motion pattern of the unstable operated and unoper-
172 ated stifles was visually identical.

173 **Discussion**

174 Our results proves both of our hypotheses, clearly demonstrating that, contrarily to
175 what has become accepted evidence in small animal orthopedics, the CrCL insuffi-
176 cient stifle exhibits femoral and not tibial instability. Even following surgical treatment
177 the motion pattern remains the same provided that, for whatever reason, the joint re-
178 mains unstable. Whether the observed laxity significantly improves compared to the
179 preoperative state in persistently unstable stifles remains unknown as we did not in-
180 vestigate any of the stifles more than once. This could be of particular interest when
181 considering dynamic stabilization procedures such as TTA, TPLO or CCWO, be-
182 cause of their inherent biomechanical concept of force equilibration without passive
183 restraints (11). We could hypothesize that either the forces are equilibrated providing
184 dynamic joint stability or that the procedure failed at equilibrating the shear forces,
185 and thus resulting in permanent instability. However the fact that in two cases with
186 tibial osteotomy slippage of the femur either occurred to an atypical time point or in-
187 stability was a mixture of femoral slippage and tibial subluxation simultaneously, sug-
188 gests that even in the absence of joint stability tibial osteotomies influence stifle joint
189 forces and may therefore indeed alter the typical motion pattern of a CrCL deficient
190 stifle. For extraarticular lateral suture type procedures and probably for intraarticular
191 repair procedures as well, we would expect significant reduction in joint laxity when
192 compared to preoperative, even with persistent instability, because these procedures
193 act as passive stabilisers to the joint. With only one stifle having an extraarticular su-
194 ture type stabilisation, which contrary to our expectation seemed to have as much
195 laxity than unoperated joints, fluoroscopic kinematography in operated stifles with lat-
196 eral suture type fixation warrants further study.

197
198 Aiming for the qualitative description of the sagittal motion pattern in the canine CrCL
199 deficient stifle only, the documented results do not allow for any conclusion why cau-
200 dal slippage of the femur and not cranial subluxation of the tibia occurs in vivo, which
201 forces are implicated and why, sometimes osteotomies of the proximal tibia fail to
202 prevent the femur from slipping caudally. Especially the latter finding has to be inves-
203 tigated in the light of achieved post-operative patellar tendon angle or tibia plateau
204 angle and state of meniscal integrity (1, 19, 30).

205

206 “Motion is relative” could one argue and adhere to the established convention of joint
207 luxation where the distal segment defines the direction of (sub)luxation. However,
208 even though the relative motion between the femur and the tibia remains the same
209 regardless which point is defined as the reference, we speculate that the kinetics
210 producing either form of motion may differ from each other. While cranial tibial sub-
211 luxation may be explained by pull of the quadriceps muscle at the tibial tuberosity
212 (21), caudal slippage of the femur cannot be explained the same way. Slocum’s un-
213 derstanding of canine stifle instability (26), compared to a wagon parked on a hill,
214 mimics more closely the motion pattern observed in vivo in a CrCL insufficient stifle.
215 In case of CrCL rupture the wagon (femur) rolls downhill, along the caudally sloped
216 tibial plateau (the hill). By reducing the slope of the hill (=TPLO) slippage of the wag-
217 on will be prevented. Nevertheless, the observation that three stifles out of five with
218 TPLO in the present study and up to 33% of the TPLO cases reported by Kim et al.
219 (15) remained unstable, may suggest that the simplification of the stifle’s biomechan-
220 ics made by Slocum (24) may not be applicable to every dog. Being a force driven
221 biomechanical theory (6) TTA might be even more dependent on detailed estimation
222 of the kinetics and the resulting kinematics in the CrCL insufficient stifle. Neverthe-
223 less the relative high number of persistently unstable TPLOs and TTAs in the current
224 study should be interpreted with caution because in some of the stifles instability
225 might have resulted from the inability of the surgeons to accurately achieve 5 degree
226 of tibial plateau angle or 90 degree of patellar tendon angle, respectively, and war-
227 rants thorough validation in form of a prospective study with constancy to the pub-
228 lished surgical as well as preoperative planning guidelines for TPLO and TTA (18, 24,
229 25) before any definitive conclusion on the stabilizing effect of tibial osteotomies can
230 be drawn.

231
232 The topographic anatomy of the CrCL intuitively leads to the assumption that it fixes
233 the tibia relative to the femur. This way it is easily understandable why early reports
234 on stifle biomechanics express stifle instability in terms of cranial drawer of the tibia
235 (2, 10). Another explanation might be that joint instability has traditionally been de-
236 fined in respect to the distal segment. In consequence, since then, any biomechanical
237 in vitro setup was driven by the conception of tibial instability. However, the fact
238 that even in vivo studies (16, 28) attributed the observed motion solely to the tibia is
239 remarkable, as they measured motion both of the femur and the tibia simultaneously,

240 and therefore all data to “see” what really happened had to be present. At the time
241 Korvick et al. (16) performed their measurements, data analysis may not have been
242 sophisticated enough to allow visual representation of the data. Tashman et al. (28,
243 29) however, could have “seen” the true motion pattern, as they used fluoroscopy, as
244 we did. We assume that simply by convention in respect to joint luxation they report-
245 ed all measured motion in terms of relative tibial motion, further strengthening the ev-
246 idence of tibial instability in the literature (9, 21).

247

248 The presented data are highly biased by the way the dogs were recruited and are not
249 representative for the biomechanical performance of the tested surgical procedures!
250 Even though the study was performed in a prospective manner, neither all cases with
251 complete CrCL rupture preoperatively nor all operated stifles could be investigated at
252 follow up during the study period. This has for sure driven the selection to operated
253 stifles with an unsatisfactory functional outcome at follow up, because we use fluor-
254 oscopy not only for scientific purposes but also as a diagnostic tool. Nevertheless,
255 the current study’s aim was not directed at evaluating the prevalence of sagittal joint
256 instability after surgical stabilization. Investigating surgically stabilised stifles was
257 thought to elucidate whether surgery, especially osteotomies of the proximal tibia,
258 might alter the sagittal motion pattern in case of persistent instability in vivo.

259 The degree of lameness and speed while walking on the treadmill may also have in-
260 fluenced the fluoroscopically documented instability. It could be speculated that se-
261 verely lame dogs would bear significantly less weight on the affected limb, reducing
262 intraarticular shear forces and therefore sagittal joint instability. With six out of six
263 dogs in the current study having complete CrCL rupture and no stabilization per-
264 formed showing the same cranio-caudal instability with the femur slipping caudally
265 probably rejects this hypothesis. Nevertheless, we cannot completely exclude the
266 possibility that some of the operated stifles showing no sagittal instability on fluoros-
267 copy might have been unstable at higher speed and/or in case of reduced lameness
268 scores. But once again, this was not one of this study’s aims, neither did we collect
269 data which would have allowed to correlate postoperative limb function and in vivo
270 joint instability, as it has been done by Kim et al. for TPLO cases at the stance (15).

271 A positive cranial drawer test was defined as inclusion criteria, whereas tibial com-
272 pression test was not evaluated. We believe that cranial drawer is more sensitive for
273 stifle instability than tibial compression test and therefore limited our clinical evalua-

274 tion to this test. However, results of the tibial compression test might have provided
275 useful information in those cases with tibial osteotomy with persistent instability in vi-
276 vo, especially TPLO/TWO, and therefore should be included in future studies.

277
278 We are unable to estimate in which way the consideration of the real motion pattern
279 of the CrCL deficient stifle, which is characterised by caudal instability of the femur,
280 may have influenced the biomechanical concept of canine CrCL rupture and its sur-
281 gical treatment in the past. But, based on the hereby reported findings, we have to
282 question the validity of the traditional in vitro CrCL rupture models, which are driven
283 by the idea of tibial instability and therefore do not mimic the real in vivo motion. By
284 changing our view of the kinematics of the canine stifle in the future towards instabil-
285 ity of the femur as the primary mechanical derangement, we might be able to develop
286 a new, potentially more realistic understanding of the underlying kinetics involved in
287 CrCL rupture, such as cocontraction of the gastrocnemius muscle (8).

288
289 **Conclusion**
290 Cranio-caudal stifle instability following CrCL rupture is characterized by caudal slip-
291 page of the femur, which contradicts the common understanding of cranial tibial sub-
292 luxation. Following osteotomy of the proximal tibia this motion pattern is maintained in
293 those cases which show persistent instability. The historical focus on tibial instability
294 following CrCL rupture might have limited our understanding of stifle kinematics in the
295 past and should therefore be revised in the future. Overall, the unexpected high
296 number of persistently unstable stifles following surgical repair warrants close atten-
297 tion in future controlled studies.

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389 **Figure 1:** Uni-planar high-speed fluoroscopic unit using a standard C-Arm and a ca-
390 nine treadmill for gait analysis in the sagittal plane. The standard video camera of the
391 C-arm has been replaced by a digital high-speed video camera (not shown).

392

393 **Abbildung 1:** Uni-planare hochfrequenz Fluoroskopie mit einem konventionellem C-
394 Boden und einem Hundelaufband, zur Ganganalyse in der Sagittalebene. Die werks-
395 eigene Videokamera des C-Bogens wurde durch eine digitale High-Speed-
396 Videokamera ersetzt (nicht abgebildet).

397

398

399

400 **Movie 1:** Sagittal fluoroscopic kinematography of a Rottweiler with unilateral rupture
401 of the cranial cruciate ligament while walking on a treadmill. Note the acute caudal
402 slippage of the distal femur along the tibia plateau at the beginning of stance phase.
403 Subluxation is reduced at lift of.

404

405 **Film 1:** Sagittale fluoroskopische Kinematographie eines Rottweilers mit einseitigem
406 Riss des vorderen Kreuzbandes. Beachte die akute kaudal gerichtete Schubbewe-

407 gung des distalen Femurs entlang des Tibiaplateaus zu Beginn der Standphase. Die
408 Subluxation wird zu Beginn der Schwungphase wieder aufgehoben.